



Office of Long-Term Living (OLTL) Heightened Scrutiny Process Summary

On December 29, 2021, OLTL issued a Medical Assistance [Bulletin 59-21-03](#), "**Home and Community Based Settings Heightened Scrutiny Process**," after soliciting stakeholder feedback, providing guidance on a process for heightened scrutiny, and detailing establishment of the OLTL Final Rule Panel. OLTL conducted on-site or virtual assessments of Home and Community Based Service (HCBS) provider sites where congregate (3 or more) participants live or receive services.

Through the on-site assessment process OLTL was able to determine if the site fell into a category appropriate for heightened scrutiny. The on-site assessment process included direct observation, review of the site's operations as well as review of policies and procedures. Each site was then submitted to the OLTL Final Rule Panel for approval. Sites that had components that were out of compliance worked through a Corrective Action Plan (CAP). Once the CAP was approved by the Final Rule Panel and the Panel agreed the site falls into a category appropriate for heightened scrutiny, the provider was sent a letter giving them the option of working with OLTL through the heightened scrutiny process. If the provider elected to follow through with heightened scrutiny, the Quality Management Efficiency Team (QMET) staff began working with the provider to collect the appropriate information from the attachments included in [Bulletin 59-21-03](#), "**Home and Community Based Settings Heightened Scrutiny Process**,"

- [Attachment A](#): Final Rule Heightened Scrutiny checklist for Day Settings
- [Attachment B](#): Final Rule Heightened Scrutiny checklist for Employment Skills Development Settings
- [Attachment C](#): Final Rule Heightened scrutiny checklist for Residential Settings